

**PATENT APPLICATION**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re the Application of:

Hans-Otto SCHECK

Group Art Unit: 2681

Application No.: 10/629,914

Examiner: Not yet assigned

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For: DIGITAL IMBALANCE CORRECTION METHOD AND DEVICE

**CLAIM FOR PRIORITY UNDER 35 USC § 119**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

December 12, 2003

Sir:

The benefit of the filing date of the following prior foreign application filed in the following foreign country is hereby requested for the above-identified patent application and the priority provided in 35 U.S.C. §119 is hereby claimed:

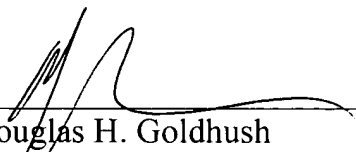
International Patent Application No. **PCT/IB02/03007** filed on **August 2, 2003** in  
**International Bureau of WIPO**

In support of this claim, certified copy of said original foreign application is filed herewith.

It is requested that the file of this application be marked to indicate that the requirements of 35 U.S.C. §119 have been fulfilled and that the Patent and Trademark Office kindly acknowledge receipt of these/this document(s).

Please charge any fee deficiency or credit any overpayment with respect to this paper to Counsel's Deposit Account No. 50-2222.

Respectfully submitted,

  
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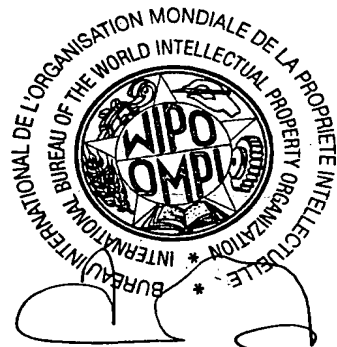
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## PCT REQUEST

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WO 32963

0	For receiving Office use only	
0-1	International Application No.	PCT/IB 02 / 03007
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0-3	Name of receiving Office and "PCT International Application"	INTERNATIONAL BUREAU OF WIPO PCT International Application
0-4	Form - PCT/RO/101 PCT Request	
0-4-1	Prepared using	PCT-EASY Version 2.92 (updated 01.06.2002)
0-5	Petition The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty	
0-6	Receiving Office (specified by the applicant)	International Bureau of the World Intellectual Property Organization (RO/IB)
0-7	Applicant's or agent's file reference	WO 32963
I	Title of invention	DIGITAL IMBALANCE CORRECTION METHOD AND DEVICE
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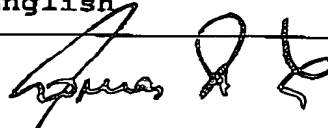
IV-1	<b>Agent or common representative; or address for correspondence</b> The person identified below is hereby/has been appointed to act on behalf of the applicant(s) before the competent International Authorities as:	<b>agent</b>
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V-1	<b>Regional Patent</b> (other kinds of protection or treatment, if any, are specified between parentheses after the designation(s) concerned)	AP: GH GM KE LS MW MZ SD SL SZ TZ UG ZM ZW and any other State which is a Contracting State of the Harare Protocol and of the PCT EA: AM AZ BY KG KZ MD RU TJ TM and any other State which is a Contracting State of the Eurasian Patent Convention and of the PCT EP: AT BE BG CH&LI CY CZ DE DK EE ES FI FR GB GR IE IT LU MC NL PT SE SK TR and any other State which is a Contracting State of the European Patent Convention and of the PCT OA: BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG and any other State which is a member State of OAPI and a Contracting State of the PCT
V-2	<b>National Patent</b> (other kinds of protection or treatment, if any, are specified between parentheses after the designation(s) concerned)	AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH&LI CN CO CR CU CZ DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ OM PH PL PT RO RU SD SE SG SI SK SL TJ TM TN TR TT TZ UA UG US UZ VN YU ZA ZM ZW

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V-5	<b>Precautionary Designation Statement</b>		
	In addition to the designations made under items V-1, V-2 and V-3, the applicant also makes under Rule 4.9(b) all designations which would be permitted under the PCT except any designation(s) of the State(s) indicated under item V-6 below. The applicant declares that those additional designations are subject to confirmation and that any designation which is not confirmed before the expiration of 15 months from the priority date is to be regarded as withdrawn by the applicant at the expiration of that time limit.		
V-6	Exclusion(s) from precautionary designations	NONE	
VI	Priority claim	NONE	
VII-1	International Searching Authority Chosen	European Patent Office (EPO) (ISA/EP)	
VIII	Declarations	Number of declarations	
VIII-1	Declaration as to the identity of the inventor	-	
VIII-2	Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent	-	
VIII-3	Declaration as to the applicant's entitlement, as at the international filing date, to claim the priority of the earlier application	-	
VIII-4	Declaration of inventorship (only for the purposes of the designation of the United States of America)	-	
VIII-5	Declaration as to non-prejudicial disclosures or exceptions to lack of novelty	-	
IX	Check list	number of sheets	electronic file(s) attached
IX-1	Request (including declaration sheets)	4	-
IX-2	Description	28	-
IX-3	Claims	4	-
IX-4	Abstract	2	EZABST00.TXT
IX-5	Drawings	9	-
IX-7	TOTAL	47	
	Accompanying items	paper document(s) attached	electronic file(s) attached
IX-8	Fee calculation sheet	✓	-
IX-11	Copy of general power of attorney	reference no. GPA 02/0160	-
IX-17	PCT-EASY diskette	-	Diskette
IX-19	Figure of the drawings which should accompany the abstract	2	
IX-20	Language of filing of the international application	English	
X-1	Signature of applicant, agent or common representative		
X-1-1	Name (LAST, First)	LESON, Thomas, Johannes, Alois	

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10-2-1	Received		
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10-3	Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application		
10-4	Date of timely receipt of the required corrections under PCT Article 11(2)		
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10-6	Transmittal of search copy delayed until search fee is paid		

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(for several international applications filed under the Patent Cooperation Treaty)

GPA 02/0160

(PCT Rule 90.5)

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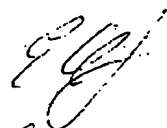
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Erkki Yli-Juuti  
Director of IPR, Infrastructure

Date: \_\_\_\_\_

6.6.2002



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Title of the Invention

Digital imbalance correction method and device

5 Field of the Invention

The present invention relates to a digital imbalance  
correction method and device. In particular, the present  
invention relates to such a device adapted for use in a  
10 receiver designed for multi-carrier applications.

Background of the invention

With the recent progress in telecommunications, receivers  
15 tend to be designed for so-called multi-carrier  
applications in order to be able to receive signals  
composed of multiple carriers, each carrier originating  
from a different transmitter. For example, such  
applications are likely to occur in connection with e.g.  
20 frequency diversity scenarios.

This invention relates to such broadband dual (or multi-)  
branch receivers for such multi-carrier applications. A  
~~broadband dual (or multi-) branch converter is used to~~  
25 convert the complete RF band (Radio Frequency) of interest  
including multiple channels/carriers to a low pass limited  
frequency band. This low-pass limited spectrum will be  
converted into digital data by low-pass ADCs (Analog-to-  
Digital Converters) after the analog branches.

30  
Precisely, this represents a low IF receiver (Intermediate  
Frequency), as each independent channel is still on an IF  
frequency. The term „Direct Conversion“ is applied here to  
emphasize that a complete RF band is converted to the  
35 baseband by means of an I/Q (or multi-branch) converter,

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lowpass sampled and converted to a digital signal. This digital signal typically contains several independent channels, which are then separated by digital filters. Alternatively, it contains one broadband channel such as an  
5 OFDM (Orthogonal Frequency Division Multiplexing) or an arbitrary signal.

Figure 1 shows a basic analog part of an I/Q conversion receiver. An input RF signal is supplied to an input  
10 terminal 1. The input RF signal contains one or more individual channels (multi-carrier signal) and may originate from several independent transmitters. Multi-branch receiver (RX) means that there is one antenna and in principle only one receiver, but the receiver has several  
15 parallel branches to process the signal from one antenna. That is typically the direct conversion receiver. In a superhet receiver, the image frequency is suppressed by a filter. In the Direct Conversion receivers, the image is too close to be filtered. The two branches allow to  
20 separate the images, although the contents in each branch is corrupted. Adding a third or more branches with different phases would allow to correct for hardware errors (like DC offset). In short, diversity copes with path distortion, multi-branch copes with hardware distortion.

25

Herein below, „branch“ is used for denoting a hardware branch and/or signal path, whereas „component“ (such as I and Q component) is used to denote a mathematical descriptive model of a signal. Stated in other words, a  
30 signal can be mathematically described by its components (I and Q components), the two components may be processed digitally: if processed in serial, one hardware branch is needed therefor, while if processed in parallel, two (or more) hardware branches are required. Thus, the analog RF  
35 front-end shown in Fig. 1 has two independent branches (or

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path for a signal component), one for the Q- and one for the I-component.

A bandpass filter BPF 2 following the RF input terminal 1  
5 selects a certain frequency band. The thus selected frequency band is amplified using a low noise amplifier LNA 3, and supplied to a power splitter 4 which splits the signal in two branches. Each respective branch of the split signal is supplied to a respective mixer 5a, 5b,  
10 respectively. At the respective mixer 5a, 5b, the split signal component (carried in the concerned branch) is subjected to a mixing using a respective signal supplied from a local oscillator 6. The local oscillator 6 generates two oscillating output signals mutually shifted by 90° such  
15 as a sine and a cosine signal, using a phase locked loop PLL 6b and a oscillator element 6a. The mixed signals are respectively subjected to a subsequent low pass filtering using low pass filters LPF 7a, 7b, amplified by amplifiers 8a, 8b, respectively, and finally output by a respective  
20 intermediate low pass filter LPF 9a, 9b. These outputs are designated as an I output 10a and a Q output 10b, respectively. The I output 10a represents the in-phase component of the signal, whereas the Q output represents the quadrature component of the signal.

25

The low pass filters 7a, 7b, respectively, downstream the mixers 5a, 5b select a wanted channel (or wanted channels, depending on the width of the passband of the filters) within the band remaining after the filtering by BPF 2. The  
30 dual branch or I/Q receiver as in figure 1 is known per se, and an example for TV applications is disclosed in the US patent US-A-4 633 315.

One of the key impairments of this architecture resides in  
35 an amplitude (gain) and phase imbalance of the two (or

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multiple) signal branches in the receiver. In a single carrier receiver (RX) this I/Q-imbalance reduces the signal-to-noise ratio S/N of the receiver and causes performance degradation.

5

Efforts are therefore made in order to correct for such an I/Q imbalance. Gain and phase correction in a dual branch receiver is for example disclosed in the European patent EP-A-0 305 603 and the US patent US-A-6 044 112. These  
10 prior art patents, however, are related to true direct conversion receivers for single carrier applications.

In the case of multi-carrier reception, however, amplitude and phase imbalance of the two branches (i.e. I and Q  
15 branch) cause interference of the channels located at equal frequency differences above and below the frequency of the local oscillator  $\omega$ . Phase and amplitude differences of the I- and Q- branches limit the practical image rejection to some 30dB, while for a GSM multi-carrier application more  
20 than 65 up to 95 dB of image rejection is required. Note that image rejection is achieved by summing the I/Q-signals with proper phase and amplitude. The achievable image rejection can be directly calculated from amplitude and  
~~phase mismatch (imbalance) of the two branches (I,Q), which~~  
25 in turn are directly related to component tolerances.

In prior art, in order to deal with problems in connection with multi-radio/multi-channel arrangements, there are basically two solutions:

30 - A single conversion to a high IF and IF sub-sampling. Currently available analog-to-digital converters (ADCs) are, however, not sufficient and immense effort is spent by various manufactures to overcome this problem.

- A double conversion to a low IF and low-pass  
35 sampling. This, however, requires enormous linearity of the

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RF stages. This solution is on the very edge of being feasible with currently available technology. Although it seems to be feasible, it is associated with rather high implementation costs. Furthermore, the double IF solution  
5 is prone to spurious responses and requires different IF frequencies for different RF bands.

Furthermore, I/Q-error correction is being investigated, and multiple methods have been published on I/Q-error  
10 correction. However, these papers concentrate on correction algorithms for single channel or OFDM applications, where the band of interest comes from one single signal source. Multi-carrier applications, however, where each carrier originates from a different transmitter (TX) have to handle  
15 a considerable higher dynamic range. In a disseration by Mikko Valkama, Tampere University of Technology, 2001, it is discussed that the task of improving the image signal attenuation of the basic quadrature down-conversion scheme, either using analog or digital techniques, has been  
20 addressed to some extent in recent literature, where several different ideas are discussed. Commonly, in the digital methods, the approach is to estimate the effective mismatches between the I and Q branch amplitudes and  
phases. Then, ~~employing these estimates, some kind of a~~  
25 correction network is used to restore the ideal matching conditions (equal amplitudes and a phase difference of  $90^\circ$ ). However, most of these methods share the problem of being unable to compensate for amplitude and phase mismatches which depend on frequency and/or time.  
30 Furthermore, most of the proposed estimation techniques are based on known test or calibration signals, thereby complicating their use during the normal receiver operation.

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Consequently, the drawbacks of the known methods are one or a combination of the following:

- Not able to compensate frequency dependent mismatches
- Not able to compensate time dependent mismatches
- 5 - Need for test or calibration signals.

Even though M. Valkama mentions in his thesis and further publications "Statistical Signal Processing Techniques for Imbalance Compensation", these are based on using certain  
10 assumptions on the wanted and interfering signals, in order to be able to compensate the amplitude and phase mismatches without needing test signals and also compensating for frequency and time dependencies. However, in order to function properly, the assumed frequency dependency model  
15 has to be correct. Otherwise, the desired result can not be obtained. However, the estimation of time dependency is also a problem in case of fast varying signals, such as in the GSM system.

20 In summary, direct conversion of a multi-carrier signal, however, is currently not feasible for cellular applications.

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#### Summary of the invention

25

Consequently, it is an object of the present invention to provide an improved method and device for digital imbalance correction which enables the realization of direct conversion for multi-carrier signals.

30

According to the present invention, the above object is for example achieved by a digital imbalance correction device, comprising

input means adapted to receive first input signals  
35 containing a plurality of channels from an I/Q converter

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stage at respective input terminals, each input terminal being associated to a respective signal branch,

a time-to-frequency-domain-transforming means adapted to perform a transformation of said first input signals  
5 from time-domain into frequency-domain, the transformation result being represented as a power spectrum of said respective first input signals,

a subtracting means arranged to receive at its inputs second input signals which are represented by the power  
10 spectra of said respective transformed first input signals and to output the gain difference as a function of frequency at its output,

a cross-correlation means arranged to receive at its inputs third input signals based on said first input  
15 signals, and to output a cross-correlation of said third input signals, said cross-correlation output being proportional to a phase error between said respective third input signals,

a gain correction means arranged in one of said  
20 respective signal branches and receiving at its input a fourth input signal based on said associated first input signal, wherein a gain of said fourth input signal is corrected based on said power difference spectrum such that  
~~said gain of said fourth input signal equals the gain of~~  
25 the other one of said first input signals, and

a phase correction means arranged in one of said  
respective signal branches and receiving at its input a  
fifth input signal based said associated first input  
signal, wherein a phase of said fifth input signal is  
30 corrected based on said cross-correlation output, such that  
said phase of said fifth input signal is in quadrature relation to the other one of said first input signals.

„Quadrature relation“ here means that the signals (I and Q  
35 components) are to a degree which is defined by

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configuration of the device in quadrature to each other. In a preferred and perfect case this means exactly 90° phase shift. However, in practical implementations, the quadrature relation obtained by correction may deviate from the perfect case. The degree of deviation that may still be acceptable and that may be reached depends on the resolution of e.g. delay elements used in phase correction and/or of the resolution of detection of phase imbalance. (Note that typical RF I/Q-converters exhibit phase errors of around 2-5 degrees.) The required and/or desired accuracy for the mult-carrier application is in the order of about 0.01 degrees, which is considered to be close to „perfect“ quadrature. Thus, quadrature relation here means that the phase imbalance is in the order of about 0.01 degrees or less.

According to favorable further developments

- said input means further comprise analog-to-digital converter means adapted to covert analog input data to digital data,
- a channelizer means is provided, arranged to receive at its respective inputs the phase-corrected and gain-corrected signals based on said first input signals ~~associated to said respective signal branches and adapted~~ to demodulate said signals into the respective individual channels,
- said phase correction means comprises controllable delay elements,
- said gain correction means comprises controllable amplifier elements,
- in one of said signal branches said first input signal equals the third input signal, while in the other of said signal branches said first input signal equals the fourth input signal, the third input signal equals the fifth input signal, with the third and the fifth input



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signals being equal to the gain-corrected fourth input signal,

- in one of said signal branches said first input signal equals the third input signal, while in the other of  
5 said signal branches said first input signal equals the third and the fourth input signal, and the fifth input signal equals the gain-corrected fourth input signal,

- in one of said signal branches said first input signal equals the third input signal, while in the other of  
10 said signal branches said first input signal equals the third and the fifth input signal, and the fourth input signal equals the phase-corrected fifth input signal,

- said gain correction means and said phase correction means are arranged in the same respective signal branches,

15 - said gain correction means and said phase correction means are arranged in respective different ones of said signal branches.

Also, the above object is for example achieved by a method  
20 for digital imbalance correction method comprising the steps of inputting first input signals I-in, Q-in containing a plurality of channels and resulting from an I/Q conversion, time-to-frequency-domain-transforming said  
~~inputted first signals to perform a transformation of said~~  
25 first input signals from time-domain into frequency-domain, the transformation result being represented as a power spectrum of said respective first input signals, subtracting the power spectra of said respective transformed first input signals and outputting the gain  
30 difference as a function of frequency, performing a cross-correlation based on said input signals, and outputting said cross-correlation which is proportional to a phase error between said respective correlation input signals, performing a gain correction for said input signals based  
35 on said power difference spectrum such that said gain of

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said input signals equals each other, and performing a phase correction for said input signals based on said cross-correlation such that said phase of said input signals is in quadrature relation to each other.

5

According to advantageous further developments of the method

- said phase correction step comprises controlling a delay,
- said gain correction step comprises controlling an amplification,
- said inputting step further comprise analog-to-digital conversion to covert analog input data to digital data, and
- the method further comprises a channelization step which processes the phase-corrected and gain-corrected signals based on said first input signals and demodulates said signals into the respective individual channels.

15

By virtue of the present invention, basically the following advantages can be achieved:

20

The invention reduces

- The ADC requirements compared to the previously known solution of single IF conversion mentioned above dramatically, making available ADCs sufficient for multi-

25 carrier applications

- The complexity and cost of both above mentioned solutions drastically, and is furthermore considerably more flexible for various RF band adaptations (multi-band radio).

30 Thus, the present invention provides a way to estimate and/or calculate phase and amplitude errors and/or imbalance of an analogue IQ-demodulator in a receiver using digital processing. This enables the use of direct conversion architectures in multi-carrier receivers. The use of the received antenna signal as such enables to

35

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estimate the imbalance in the modulator, without needing a special test signal.

There seem to be no other applicable methods for estimating  
5 IQ imbalance in analog IQ modulators for multi-carrier  
receivers. If IQ balance can be estimated and compensated  
properly, it enables a direct conversion architecture in  
which the received signals are converted to the digital  
10 domain at baseband frequencies. This results into minimum  
complexity of the receiver and the easiest requirements for  
the A-to-D converters. The direct conversion architecture  
also avoids the very tedious frequency planning process in  
the receiver, because no intermediate frequencies are  
needed.

15

Without imbalance correction, multi-carrier receivers are  
forced to analog-to-digital conversion of an intermediate  
frequency signal. This imposes extremely demanding  
requirements on the converters and their clocks. Also  
20 finding suitable intermediate frequencies in a single- or  
dual-conversion multi-carrier receiver is not easy. For a  
multi-band and multi-carrier receiver, finding common  
intermediate frequencies for all bands may even be  
~~impossible. Advantageously, all these problems can be~~  
25 avoided by the present invention.

#### Brief description of the drawings

In the following, the present invention will be described  
30 in greater detail with reference to the accompanying  
drawings, in which

Fig. 1 shows a basic analog part of an I/Q conversion  
receiver,

35

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Fig. 2 shows an embodiment of the digital imbalance correction device according to the present invention, and

Figs. 3a through 3d show modifications of the embodiment  
5 illustrated in Fig. 2.

Fig. 4 shows a further embodiment of the imbalance correction device,

10 Fig. 5 shows a still further embodiment of the imbalance correction device, and

Fig. 6 shows another further embodiment of the imbalance correction device.

15

Detailed description of the embodiments

The present invention will subsequently be described in detail with reference to the accompanying drawings.

20

Fig. 2 shows an embodiment of the digital error correction device according to the present invention. The error correction device may be used as a subsequent stage following the analog part of an I/Q conversion receiver as  
25 for example shown in Fig. 1. Nevertheless, it may be used as a subsequent stage for imbalance/error correction following also other kinds of multi-branch receivers as long as they output signal components converted with oscillator signals of multiple phases. For example, the  
30 imbalance (error) correction device may also be connected to the output of a double quadrature receiver or tri-phase receiver. Firstly, the structure of the embodiment shown in Fig. 2 will be described. As shown, the I/Q outputs of the Fig. 1 arrangement are input as signals I-in and Q-in at  
35 respective input terminals. Each signal I and Q,

- 13 -

respectively, is supplied to a corresponding I and/or Q signal branch, respectively, where it is subjected to an analog-to digital conversion using A/D converter means 11a, 11b, respectively. These input terminals form part of an  
5 input means adapted to receive I and Q signals as first input signals which contain a plurality of channels.

Furthermore, the A/D converted I and Q signals are input to a time-to-frequency-domain-transforming means 12 (FFT)  
10 which is adapted to perform a transformation of said first input signals from time-domain into frequency-domain. The transformation result at the outputs of the transforming means 12 is represented as a power spectrum of said  
15 respective first input signals (and may thus be plotted as power versus distinct frequencies contained in the first input signal for the I as well as for the Q signal and/or signal component).

The outputs of the transforming means 12 are input to a  
20 subtracting means 13 as so called second input signals (which as previously mentioned) are represented by the power spectra of said respective transformed first input signals. The subtracting means outputs a power difference spectrum at its output which represents the frequency  
25 dependent gain difference between the different receiver branches.

Note that the subtracting means comprises a magnitude detector if a so-called Complex FFT is applied. A complex  
30 FFT requires slightly more processing power but allows a more precise phase error estimation. Use of a complex FFT is illustrated in outline in Figures 4 to 6. Basically, the „plain“ Fast Fourier Transformation FFT as illustrated in Figs. 2 to 3d delivers the power spectrum (as mentioned  
35 before). The Complex FFT means that magnitude and phase are

- 14 -

calculated, so that by the additional magnitude functional block only the magnitude of the values is used and the phase is omitted (typical function of e.g. digital signal processors DSPs). The decision whether FFT or complex FFT  
5 is used is dependent on the complexity one can afford and the precision one desires. In principle, also in Figs. 2 to 3d complex FFT can be used, whereas in Figs. 4 to 6 also „plain“ FFT can be used. Furthermore it is to be noted that instead of FFT also DFT (Discrete Fourier Transformation),  
10 whether „plain“ or „complex“ can be used, similar to the usage of FFT, though usage of DFT is not shown in the Figures.

Moreover, the imbalance correction device contains a cross-  
15 correlation means 15 arranged to receive at its inputs third input signals based on said first input signals.  
(Note that the third input signals may be the first input signals as such and/or a signal based on a/the first input signals which has/have been processed, e.g. by gain  
20 correction and/or power adjustment etc. as will be explained later). The cross correlation means 15 outputs a cross-correlation of said third input signals. The cross-correlation output is proportional to a phase error between  
said respective third input signals, i.e. represents a  
25 phase error between the I and Q signals in the respective signal branch. Note that in general, the cross correlation is a measure for the correlation between two independent signals. That is, it determines how related two signals are. Orthogonal signals are unrelated (uncorrelated), thus,  
30 their cross correlation result would be zero.

The output of the subtracting means 13 is supplied to a gain correction means 14 arranged in one of said respective signal branches and receiving at its input a fourth input  
35 signal based on said associated first input signal, wherein

- 15 -

a gain of said fourth input signal is corrected based on said power difference spectrum such that said gain of said fourth input signal equals the gain of the other one of said first input signals. (Note that in the arrangement  
5 shown in Fig. 2, here the first and fourth input signals equal each other in the I signal branch.)

Also, a phase correction means 16 arranged in one of said respective signal paths is provided, which receives at its  
10 input a fifth input signal based on said associated first input signal, wherein a phase of said fifth input signal is corrected based on said cross-correlation output, such that said phase of said fifth input signal is in quadrature relation to the other one of said first input signals.

15

„Quadrature relation“ here means that the signals (I and Q components) are to a degree which is defined by configuration of the device in quadrature to each other. In a preferred and perfect case this means exactly 90° phase  
20 shift. However, in practical implementations, the quadrature relation obtained by correction may deviate from the perfect case. The degree of deviation that may still be acceptable and that may be reached depends on the  
~~resolution of e.g. delay elements used in phase correction~~  
25 and/or of the resolution of detection of phase imbalance. (Note that typical RF I/Q-converters exhibit phase errors of around 2-5 degrees.) The required and/or desired accuracy for the mult-carrier application is in the order of about 0.01 degrees, which is considered to be close to  
30 „perfect“ quadrature. Thus, quadrature relation here means that the phase imbalance is in the order of about 0.01 degrees or less.

(Note that in the arrangement shown in Fig. 2, here the  
35 third and fifth input signals equal each other in the I

- 16 -

signal branch, while the first and third input signals equal each other in the Q signal branch.)

Note that the input signals to respective branches of the  
5 imbalance (also referred to as error) correction device have been assigned numbers for convenience in order to explain subsequent modifications of the device arrangement.

As outlined above, Fig. 2 shows a case in which in one of  
10 said signal branches, i.e. Q, said first input signal equals the third input signal, while in the other of said signal branches, i.e. I, said first input signal equals the fourth input signal, the third input signal equals the  
15 fifth input signal, with the third and the fifth input signals being equal to the gain-corrected fourth input signal (i.e. the output signal of the gain correction means).

According to a modification of the arrangement as shown in  
20 Fig. 3d, in one of said signal branches, i.e. Q, said first input signal equals the third input signal, while in the other of said signal branches, i.e. I, said first input signal equals the third and the fourth input signal, and  
~~the fifth input signal equals the gain-corrected fourth~~  
25 input signal.

Additionally, in a still further modification (not shown in a figure), in one of said signal branches, i.e. Q, said first input signal equals the third input signal, while in  
30 the other of said signal branches, i.e. I, said first input signal equals the third and the fifth input signal, and the fourth input signal equals the phase-corrected fifth input signal. This corresponds to an arrangement similar to Fig. 3d with the exception that the sequence of means 14 and 16  
35 is interchanged.



- 17 -

Heretofore, embodiments and modification were described, in which said gain correction means 14 and said phase correction means 16 are arranged in the same respective  
5 signal branch. The branch I for the inphase component was chosen as an example. Nevertheless, the means 14 and 16 can also both be arranged in the quadrature component branch Q.

Additionally, said gain correction means 14 and said phase  
10 correction means 16 can be arranged in respective different ones of said signal branches. Examples for such arrangements are illustrated in Figs. 3a to 3c. Note that according to a modification (not shown) of Fig. 3c, the gain correction means 14 and the phase correction means 16  
15 can also be interchanged so that gain correction could be performed on the Q signal component while phase correction could be performed on the I signal component.

In any arrangement, said phase correction means 16  
20 comprises controllable delay elements by means of which the phase can be corrected. Likewise, said gain correction means 14 comprises controllable amplifier elements by means of which the gain and/or power can be corrected.

---

25 In a further embodiment of the imbalance correction device shown in Fig. 4, where a complex FFT is performed also the cross correlation means receives as its input the output of the time-to-frequency-domain conversion means. Stated in other words, in the arrangement shown in Fig. 4, the third  
30 input signals equal the second input signals, while the remaining structure is identical to the one shown in Fig. 2.

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The embodiments described so far in connection with Figs. 2 to 4 showed an arrangement in which gain/phase correction is performed on the (input) signal in the time domain.

- 5 Figs. 5 and 6 show two examples of further embodiments including complex FFT, which adopt a structure in which gain and phase correction are performed in the frequency domain. That is, upon time-to-frequency-domain conversion, the outputs (I/Q branches and/or paths) of the conversion  
10 means are subjected to gain / phase correction.

For example, in Fig. 5 and considering the I branch in frequency domain, the second, third and fourth input signals are identical, while the Q branch in frequency  
15 domain, the second and third input signals are identical. The fifth input signal is the output signal of the gain corrected fourth input signal.

- Fig. 6 shows a variation of Fig. 5 according to which gain  
20 / phase correction are performed in different branches, i.e. one of them in the I branch, the other in the Q branch. Further modifications similar to those shown in connection with Figs. 3a to 3d are conceivable.

- 
- 25 Thus, I and Q components are associated to a respective signal branch. In this connection it should however be noted that each signal branch has a time domain representation as well as frequency domain representation after FFT processing (apart from FFT, Discrete Fourier  
30 Transformation DFT may also be applied). The gain / phase imbalance correction means can thus be arranged in the time domain signal branch or in the frequency domain signal branch.

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As regards the differences between „plain“ FFT as illustrated in Figs. 2 to 3d and complex FFT shown in Figs. 4 to 6, reference is made to the explanations as given further above.

5

The outputs of the error correction means are supplied to a channelizer means 17 arranged to receive at its respective inputs the phase-corrected and gain-corrected signals based on said first input signals associated to said respective  
10 signal branches and adapted to demodulate said signals into the respective individual channels, which are output at terminals 18.

Heretofore, the present invention has mostly been described  
15 in terms of different functional blocks, they could be implemented as dedicated hardware or software algorithms. For example, the present invention in their functional behavior could be realized as a hardware component for example by a Digital Signal Processor DSP unit, an ASIC  
20 (Application Specific Integrated Circuit), or FPGA (Field-Programmable Gate Array).

Subsequently, the description will focus on the functional  
~~behavior of the error correction device according to the~~  
25 present invention.

The analog outputs from the I/Q converter (Fig. 1) are digitized by the A/D-converters 11a, 11b. At this point, both I- and Q-branch signal components contain the same  
30 signal composed from the frequencies above and below the carrier frequency. Upper and lower sidebands are derived (by adding or subtracting the I/Q-signals with proper phase (+/-90deg) in a channelizer. In the ideal case of perfectly matched branches, upper and lower channels are completely  
35 separated.

- 20 -

The input RF signals can be described by the equations:

$$V_{RF} = \sum_{i=1 \dots N} A_i(t) \cos(\omega_i t + \Theta_i(t)) \quad \dots (1)$$

$$V_{LO\_I} = \cos(\omega_0 t) \quad \dots (2a)$$

$$V_{LO\_Q} = \sin(\omega_0 t) \quad \dots (2b)$$

10

With  $V_{RF}$  representing the signals of the various channels at the frequencies  $\omega$ , contained in the RF signal (Fig. 1). And  $V_{LO\_I}$  and  $V_{LO\_Q}$  representing the respective local oscillator signals used for mixing with the (power splitted) input signals.

After the frequency conversion the signals at the I- and Q-branches are:

20

$$V_I = V_{RF} \cos(\omega_0 t) \quad \dots (3a)$$

$$V_Q = V_{RF} \sin(\omega_0 t) \quad \dots (3b)$$

Substituting equation (1) in equation (3a) and (3b), respectively, and applying some trigonometric addition theorems yields:

$$V_I = 1/2 \sum_{i=1 \dots N} A_i(t) \cos((\omega_i - \omega_0)t + \Theta_i(t))$$

30

$$V_Q = 1/2 \sum_{i=1 \dots N} (1 - \epsilon_i) A_i(t) \sin((\omega_i - \omega_0)t + \Theta_i(t) - \varphi_i)$$

35

... (4)

- 21 -

With  $\varepsilon$  representing the amplitude difference and  $\varphi$  representing the phase difference between the I- and Q-branches. Note that the summing terms  $\cos(\omega_i + \omega_0)t$  are omitted as these are suppressed by the low pass filters  
5 (anti-alias filters) 7a, 7b, 9a, 9b.

Because of these phase and amplitude errors (or imbalance), signals from either side of the local oscillator frequency are now overlapping, causing significant interference  
10 within the wanted channel. That is, channels contained in the RF band interfere with other.

This invention aims to correct such an I/Q-imbalance in terms of phase and/or amplitude before the final channel  
15 selection takes place in the channelizer means.

#### Amplitude error correction

For amplitude error correction, firstly a Fourier analysis (FFT, Fast Fourier Transformation, or DFT, Discrete Fourier  
20 Transformation, or „complex“ Fast Fourier or „complex“ Discrete Fourier Transformation) is performed on each signal branch I, Q to transform the time-domain signals  $V_I$  and  $V_Q$  into the frequency-domain. The result of the Fourier analysis as e.g. FFT represents the power spectrum in each  
~~analysis as e.g. FFT represents the power spectrum in each~~  
25 of the branches. The principle FFT algorithm is known per se. Based on the two obtained power spectra, i.e. one for I branch, one for Q branch, the power difference at each frequency point is obtained as a direct measure for the amplitude error  $\varepsilon$  by subtracting the power spectra from  
30 each other. Based on the obtained power difference the required equalizer coefficients are derived to correct for the frequency dependent I/Q-gain difference in either the I- or Q-branch. Alternatively, each branch could be corrected by e.g. half the amplitude error amount, one path  
35 being attenuated while the other being amplified, so that

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in result the amplitude of I and Q branch signals is equalized. Note that a Fourier spectrum transformation (e.g. FFT or DFT) is performed on each path by means of digital signal processing. The resulting frequency  
5 dependent power spectra of both branches are identical, as the phase shift of the down conversion disappears in the power spectrum. Any difference between I and Q represents the amplitude error/imbalance at each frequency point, which can now be corrected within the accuracy of the A/D-  
10 converter resolution and DSP processing power by means of an equalizer. As the original input spectrum is used for this operation, no test signal is needed; the correction can be done if required for every single sample or at selected frequency points only (corresponding already to a  
15 respective channel). Though the Fourier Transformation such as FFT requires a huge amount of processing power, the overall increase in processing power is less significant, as the Fourier Transformation such as FFT basically performs the channelization.

20

#### Phase error correction

The phase error has two independent origins:

- phase shifter error of the local oscillator LO frequency
- different phase delay of the I/Q paths.

25

The phase shifter error of the local oscillator has typically the largest contribution, as the lowpass filters are broadband for the multi-carrier receiver. The phase shifter error introduces a frequency independent phase  
30 error. Depending on the requirements, a frequency independent phase correction might be sufficient and be done as follows:

The cross correlation of the I/Q-signals is obtained. The  
35 result is proportional to the phase error  $\phi$  and the total

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signal power. The correlator output may be normalized to the signal power to receive an error signal proportional to the phase error for a direct correction. Alternatively it is used in a feed-back loop to minimize the phase error in a kind of iteration process, which basically is as follows:  
5 calculate the cross correlation function, if not zero, delay one branch and calculate again. Repeat this until zero (i.e. perfect quadrature relation) or until a preconfigured and/or selectable/programmable minimum, i.e.  
10 sufficient quadrature relation is obtained.

Interpolating (or oversampling) either the I- or Q-signal component (depending on in which branch phase correction is applied) is performed in order to achieve the required  
15 phase resolution and then the corresponding signal is delayed in order that the cross correlation signal becomes zero or at least minimum. In case of a feedback loop arrangement, this process is conducted until the cross correlation result equals zero (or has fallen below a  
20 predetermined threshold).

Again, the cross correlation function of the I- and Q-signal components is calculated with the help of digital signal processing. Although both channels contain  
25 originally the same spectrum, the I- and Q-signals are not correlated in the ideal case of perfect 90-degree phase shift. The result of the cross-correlation is applied to correct for the phase error between the I- and Q-signal components.

30 If the cross correlation result does not fall below the certain threshold, the frequency dependent phase error has to be corrected additionally, either after the subsequently explained demodulation or by applying a complex FFT and the  
35 structure as outlined in figures 4-6.

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Namely, for demodulation, the I- and Q-signal components are demodulated in a digital I/Q conversion (channelizer 17) and the adjacent channels are suppressed with lowpass  
5 filters. This second, digital, frequency conversion can be considered ideal, but the original phase error from the analog process remains.

Thus, as has been described above, the receiver according  
10 to the present invention basically contains the following stages:

A multi-branch receiver with two or more branches converting an RF band including different phase shifts and comprising plural channels (frequencies) into a low-pass  
15 limited baseband signal containing at least one, but generally multiple channels,

a Fourier Transformation, such as FFT, based spectrum analysis to determine the power spectra within the multiple receiver branches and a subtractor to calculate the  
20 frequency dependent correction factors for the branches,

an IQ-amplitude imbalance correction stage, typically comprised of a programmable equalizer adapted to perform gain correction,

a cross correlation calculation functionality adapted  
25 to calculate the phase error between the signal components of the respective paths,

an interpolator and phase shifter, comprised in a phase correction means, adapted to correct the detected/measured phase error as required,

30 summing circuits are provisioned (within the channelizer) to combine the signals of the RX branches with a proper phase to select either of the wanted side-bands.



- 25 -

Finally, one or multiple second, digital conversion stages (channelizers) including decimators are provided in order to demodulate the wanted channels.

- 5    Optionally, a second cross correlator per channel may be provisioned in order to determine the phase shift of each channel, and also optionally, a second phase shifter per channel may then be provisioned in order to correct the phase shift of each channel.

10

Also, the digital error correction device need not necessarily to be implemented like in the block diagrams and description as given above. There are many other possibilities:

- 15    For example, in case that an explicit estimation of the phase imbalance is too difficult (e.g. in terms of processing time required, accuracy achieved in one step, etc.), the phase imbalance could be minimized in some kind of feedback control loop. Phase and amplitude imbalance  
20    could be estimated jointly in the I-Q domain and corrected in a vector multiplier, maybe also using a kind of control loop structure. The frequency dependence of the amplitude imbalance is measured via a e.g. a FFT, but the correction is effected still in the time domain. It is also  
25    conceivable to perform amplitude correction inside the channelizer, i.e. in the frequency domain. Many other ways might be possible.

- Also, the invention is not limited to direct conversion  
30    only, but also to single- or even multiple- conversion if followed by an analog IQ-demodulator, which is also feasible, because it is easier to produce high quality demodulators at a fixed and relatively low frequency than directly at radio frequency RF.

35

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Even though the present invention has been described herein above with a certain focus on hardware realizations, it is of course to be understood that the present invention simultaneously addresses a corresponding method for digital  
5 imbalance correction method, which can be carried out independent of any specific hardware and which method comprises the steps of inputting first input signals I-in, Q-in containing a plurality of channels and resulting from an I/Q conversion, time-to-frequency-domain-transforming  
10 said inputted first signals to perform a transformation of said first input signals from time-domain into frequency-domain, the transformation result being represented as a power spectrum of said respective first input signals, subtracting the power spectra of said respective  
15 transformed first input signals and outputting the gain difference as a function of frequency, performing a cross-correlation based on said input signals, and outputting said cross-correlation which is proportional to a phase error between said respective correlation input signals,  
20 performing a gain correction for said input signals based on said power difference spectrum such that said gain of said input signals equals each other, and performing a phase correction for said input signals based on said cross-correlation such that said phase of said input  
25 signals is in quadrature relation to each other.

According to further details of the method

- said phase correction step comprises controlling a delay,
- said gain correction step comprises controlling an  
30 amplification,
- said inputting step further comprise analog-to-digital conversion to covert analog input data to digital data, and
- the method further comprises a channelization step which processes the phase-corrected and gain-corrected signals

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based on said first input signals and demodulates said signals into the respective individual channels.

Accordingly, as has been described herein above, the  
5 present invention concerns digital imbalance correction device, comprising input means adapted to receive first input signals I-in, Q-in containing a plurality of channels from an I/Q converter stage at respective input terminals, each input terminal being associated to a respective signal  
10 branch, a time-to-frequency-domain-transforming means FFT adapted to perform a transformation of said first input signals from time-domain into frequency-domain, the transformation result being represented as a power spectrum of said respective first input signals, a subtracting means  
15 arranged to receive at its inputs second input signals which are represented by the power spectra of said respective transformed first input signals and to output the gain difference as a function of frequency at its output, a cross-correlation means arranged to receive at  
20 its inputs third input signals based on said input signals, and to output a cross-correlation of said third input signals, said cross-correlation output being proportional to a phase error between said respective correlation input signals, a gain correction means arranged in one of said  
25 respective signal branches and receiving at its input a fourth input signal based said associated first input signal, wherein a gain of said fourth input signal is corrected based on said power difference spectrum such that said gain of said fourth input signal equals the gain of  
30 the other one of said first input signals, and a phase correction means arranged in one of said respective signal branches and receiving at its input a fifth input signal based said associated first input signal, wherein a phase of said fifth input signal is corrected based on said  
35 cross-correlation output, such that said phase of said

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fifth input signal is in quadrature relation to the other one of said first input signals. The present invention also concerns a corresponding method.

- 5 While the invention has been described with reference to preferred embodiments, the description is illustrative of the invention and is not to be construed as limiting the invention. Various modifications and applications may occur to those skilled in the art without departing from the true  
10 spirit and scope of the invention as defined by the appended claims.

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Claims

1. A digital imbalance correction device, comprising  
input means adapted to receive first input signals (I-  
5 in, Q-in) containing a plurality of channels from an I/Q  
converter stage at respective input terminals, each input  
terminal being associated to a respective signal branch,  
a time-to-frequency-domain-transforming means (FFT)  
adapted to perform a transformation of said first input  
10 signals from time-domain into frequency-domain, the  
transformation result being represented as a power spectrum  
of said respective first input signals,  
a subtracting means arranged to receive at its inputs  
second input signals which are represented by the power  
15 spectra of said respective transformed first input signals  
and to output the gain difference as a function of  
frequency at its output,  
a cross-correlation means arranged to receive at its  
inputs third input signals based on said input signals, and  
20 to output a cross-correlation of said third input signals,  
said cross-correlation output being proportional to a phase  
error between said respective correlation input signals,  
a gain correction means arranged in one of said  
respective signal branches and receiving at its input a  
25 fourth input signal based said associated first input  
signal, wherein a gain of said fourth input signal is  
corrected based on said power difference spectrum such  
that said gain of said fourth input signal equals the gain  
of the other one of said first input signals, and  
30 a phase correction means arranged in one of said  
respective signal branch and receiving at its input a fifth  
input signal based said associated first input signal,  
wherein a phase of said fifth input signal is corrected  
based on said cross-correlation output, such that said

- 30 -

phase of said fifth input signal is in quadrature relation to the other one of said first input signals.

2. A device according to claim 1, wherein  
5 said phase correction means comprises controllable delay elements.

3. A device according to claim 1, wherein  
said gain correction means comprises controllable  
10 amplifier element.

4. A device according to claim 1, wherein  
said input means further comprise analog-to-digital  
converter means adapted to covert analog input data to  
15 digital data.

5. A device according to claim 1, further comprising  
a channelizer means arranged to receive at its respective  
inputs the phase-corrected and gain-corrected signals based  
20 on said first input signals associated to said respective  
signal paths and adapted to demodulate said signals into  
the respective individual channels.

6. A device according to claim 1, wherein  
25 in one of said signal branches (Q) said first input  
signal equals the third input signal, while  
in the other of said signal branch (I) said first  
input signal equals the fourth input signal, the third  
input signal equals the fifth input signal, with the third  
30 and the fifth input signals being equal to the gain-  
corrected fourth input signal.

7. A device according to claim 1, wherein  
in one of said signal branch (Q) said first input  
35 signal equals the third input signal, while

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in the other of said signal branch (I) said first input signal equals the third and the fourth input signal, and the fifth input signal equals the gain-corrected fourth input signal.

5

8. A device according to claim 1, wherein

in one of said signal branches (Q) said first input signal equals the third input signal, while

10 in the other of said signal branches (I) said first input signal equals the third and the fifth input signal, and the fourth input signal equals the phase-corrected fifth input signal.

9. A device according to claim 1, wherein

15 said gain correction means and said phase correction means are arranged in the same respective signal branch.

10. A device according to claim 1, wherein

20 said gain correction means and said phase correction means are arranged in respective different ones of said signal branches.

11. A digital imbalance correction method, comprising the steps of

25 inputting first input signals (I-in, Q-in) containing a plurality of channels and resulting from an I/Q conversion,

time-to-frequency-domain-transforming said inputted first signals to perform a transformation of said first input signals from time-domain into frequency-domain, the transformation result being represented as a power spectrum of said respective first input signals,

30 subtracting the power spectra of said respective transformed first input signals and outputting the gain difference as a function of frequency,

35

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performing a cross-correlation based on said input signals, and outputting said cross-correlation which is proportional to a phase error between said respective correlation input signals,

5 performing a gain correction for said input signals based on said power difference spectrum such that said gain of said input signals equals each other, and

performing a phase correction for said input signals based on said cross-correlation such that said phase of  
10 said input signals is in quadrature relation to each other.

12. A method according to claim 11, wherein said phase correction step comprises controlling a delay.

15 13. A method according to claim 11, wherein said gain correction step comprises controlling an amplification.

14. A method according to claim 11, wherein  
20 said inputting step further comprise analog-to-digital conversion to covert analog input data to digital data.

15. A method according to claim 11, further comprising a channelization step which processes the phase-corrected  
25 and gain-corrected signals based on said first input signals and demodulates said signals into the respective individual channels.

30



- 33 -

Abstract

The present invention concerns a digital imbalance correction device, comprising input means adapted to receive first input signals I-in, Q-in containing a plurality of channels from an I/Q converter stage at respective input terminals, each input terminal being associated to a respective signal branch, a time-to-frequency-domain-transforming means FFT adapted to perform a transformation of said first input signals from time-domain into frequency-domain, the transformation result being represented as a power spectrum of said respective first input signals, a subtracting means arranged to receive at its inputs second input signals which are represented by the power spectra of said respective transformed first input signals and to output the gain difference as a function of frequency at its output, a cross-correlation means arranged to receive at its inputs third input signals based on said input signals, and to output a cross-correlation of said third input signals, said cross-correlation output being proportional to a phase error between said respective correlation input signals, a gain correction means arranged in one of said respective signal branches and receiving at its input a fourth input signal based said associated first input signal, wherein a gain of said fourth input signal is corrected based on said power difference spectrum such that said gain of said fourth input signal equals the gain of the other one of said first input signals, and a phase correction means arranged in one of said respective signal branches and receiving at its input a fifth input signal based said associated first input signal, wherein a phase of said fifth input signal is corrected based on said cross-correlation output, such that said phase of said fifth input signal is in quadrature relation to the other one of

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said first input signals. The present invention also concerns a corresponding method.

5 - Fig. 2 -

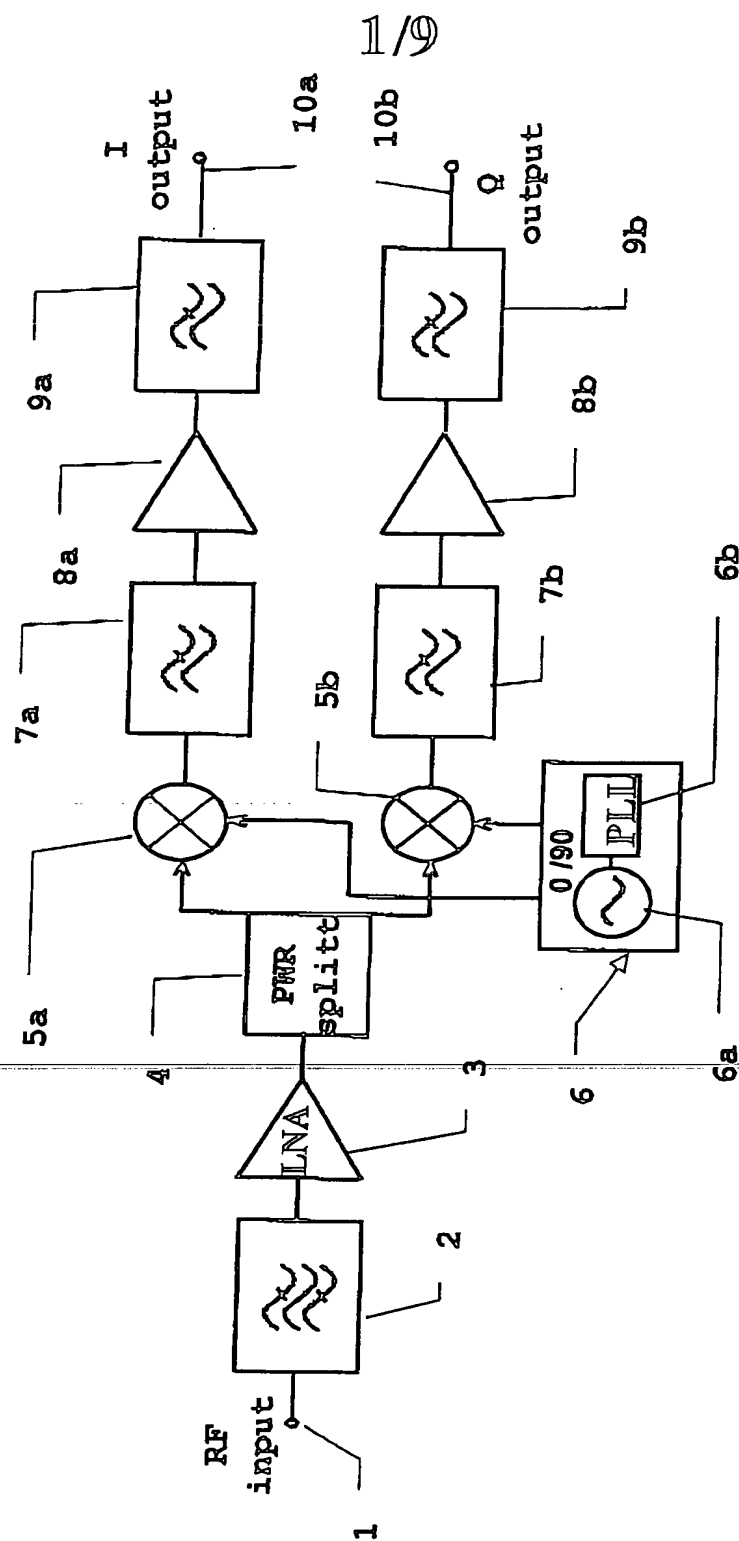


Fig. 1 (Prior Art)

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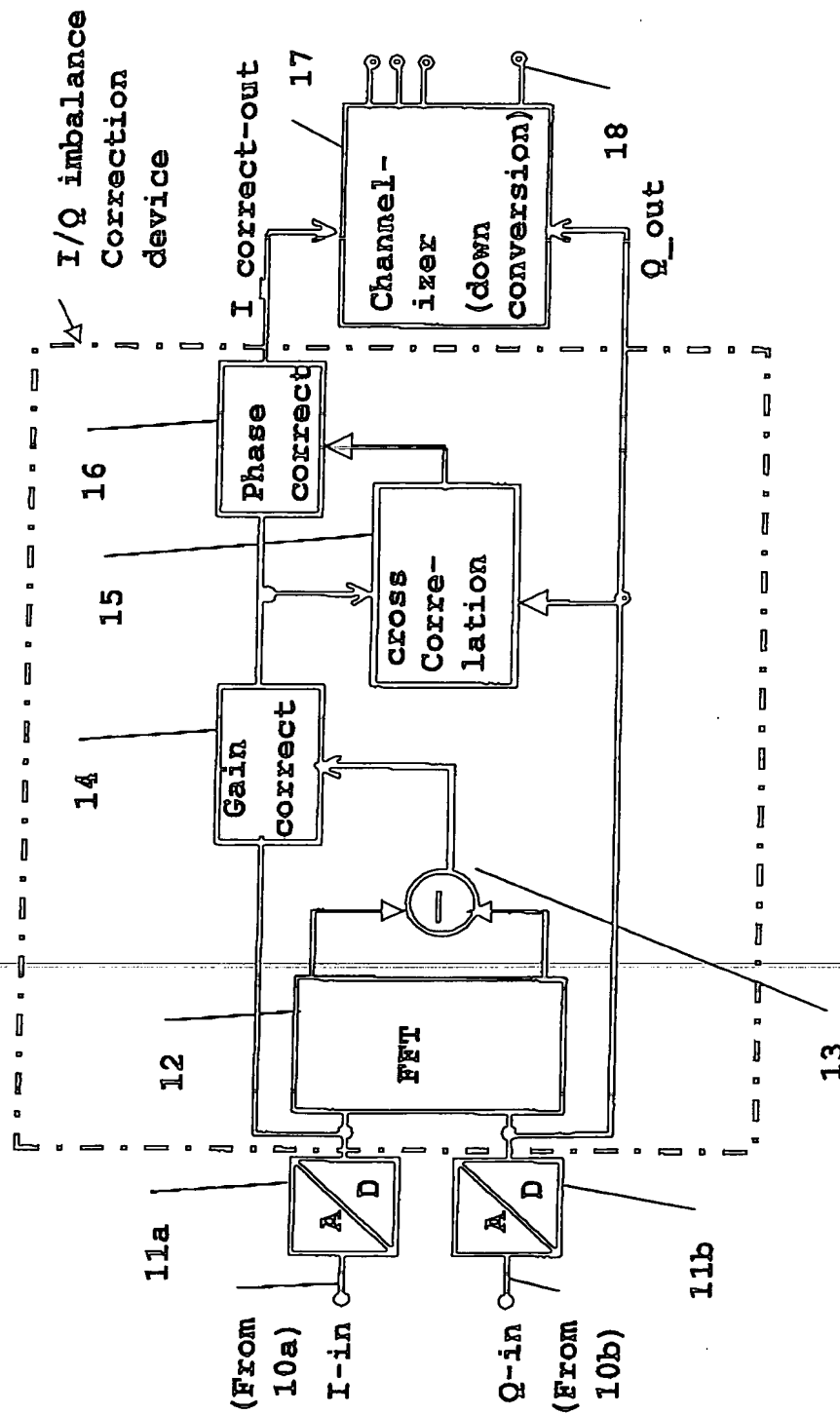


Fig. 2

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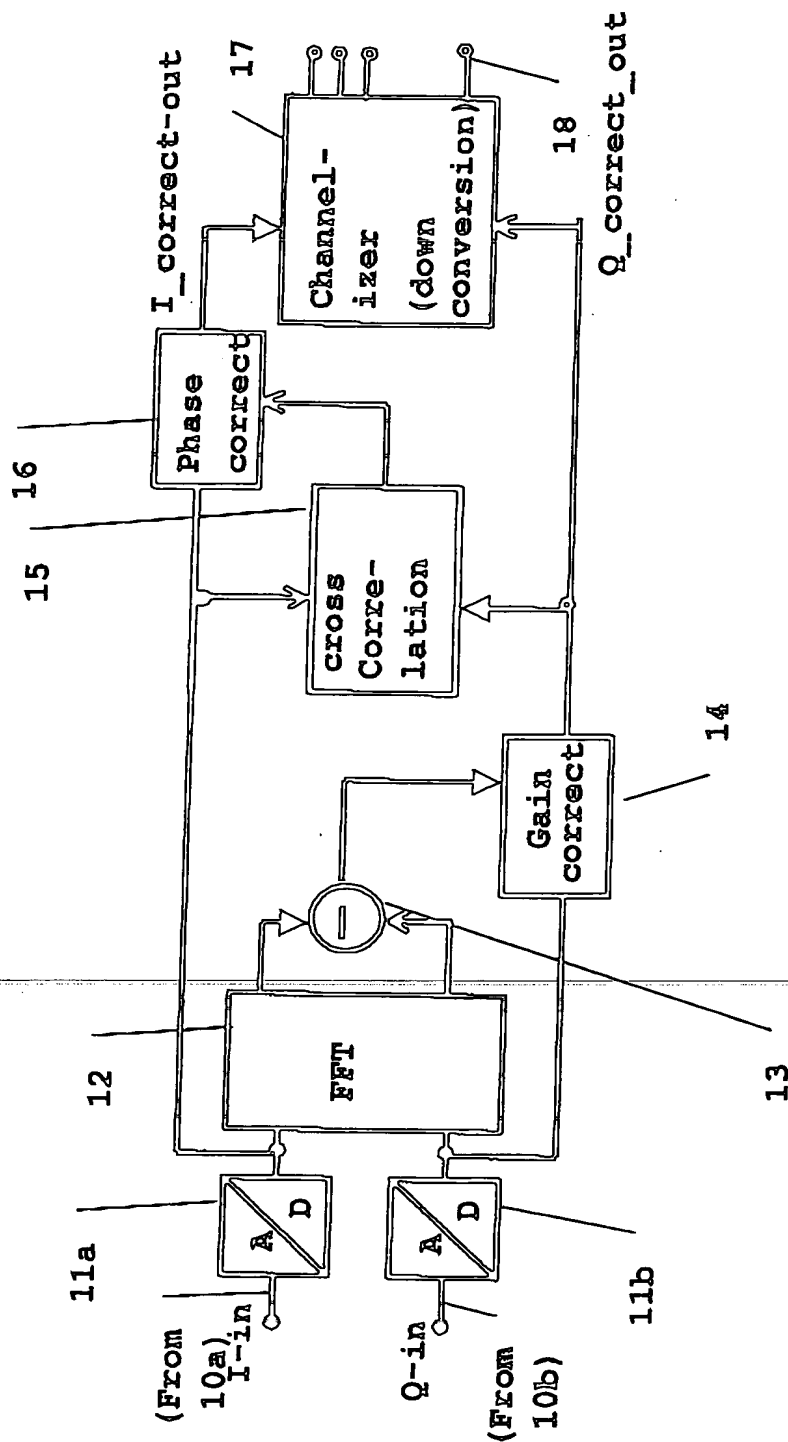


Fig. 3a

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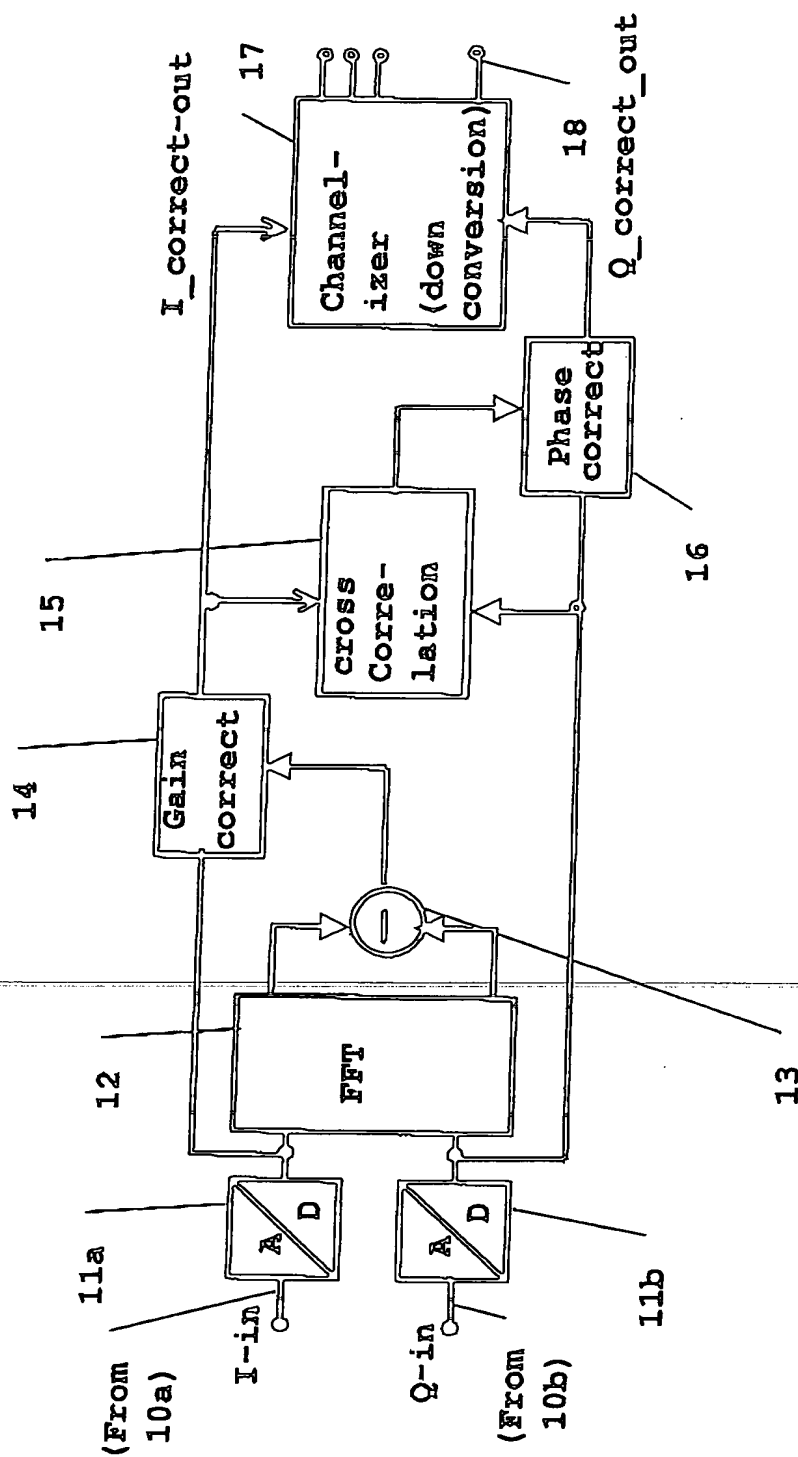


Fig. 3b

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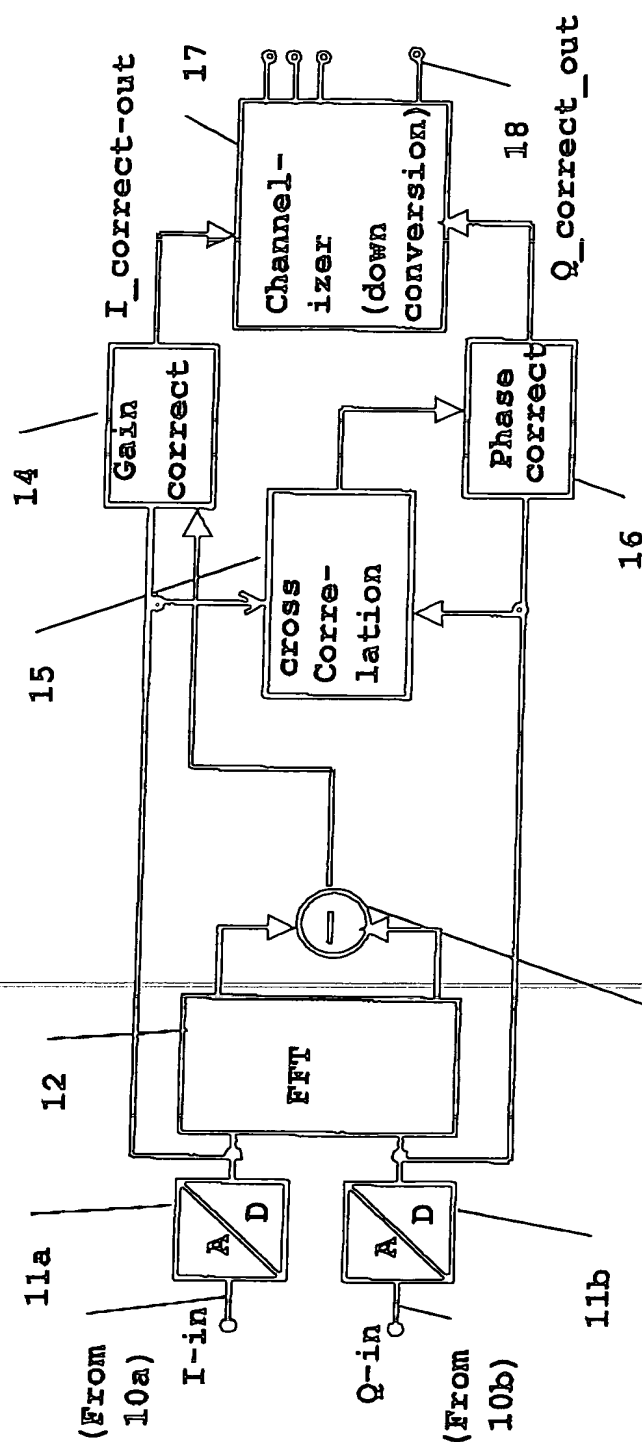


Fig. 3c

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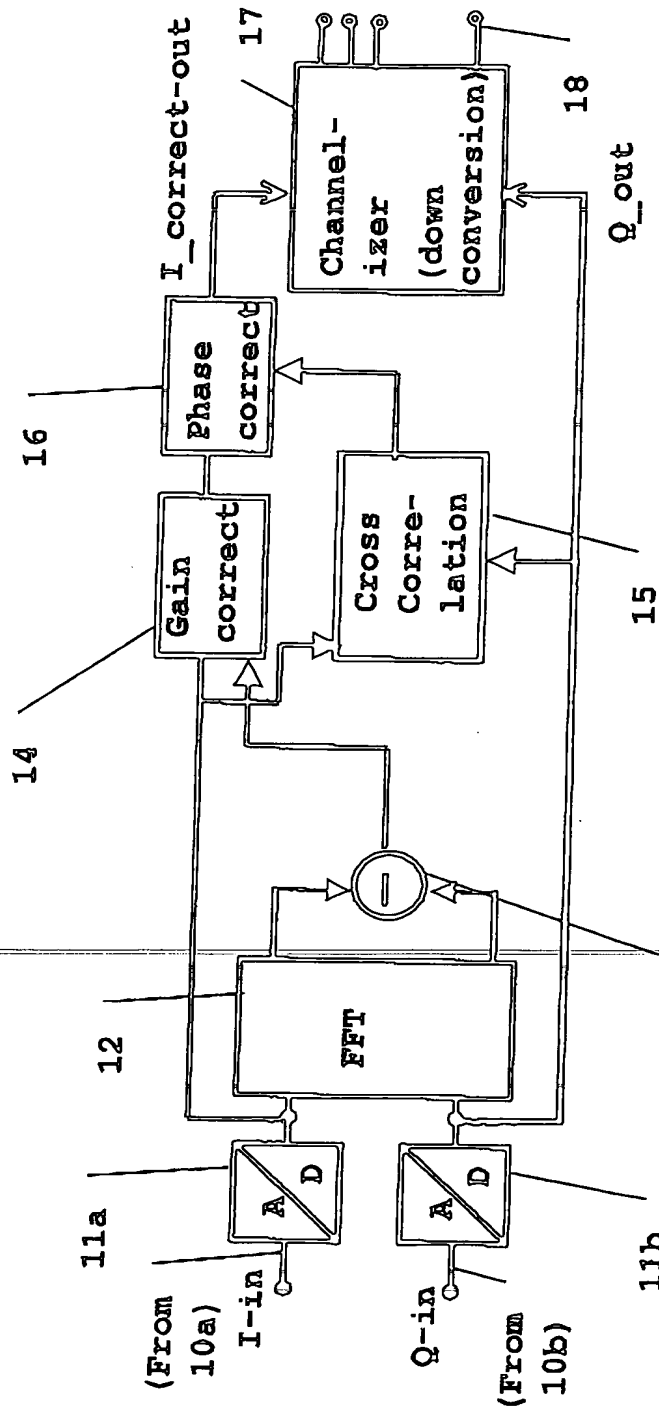


Fig. 3d



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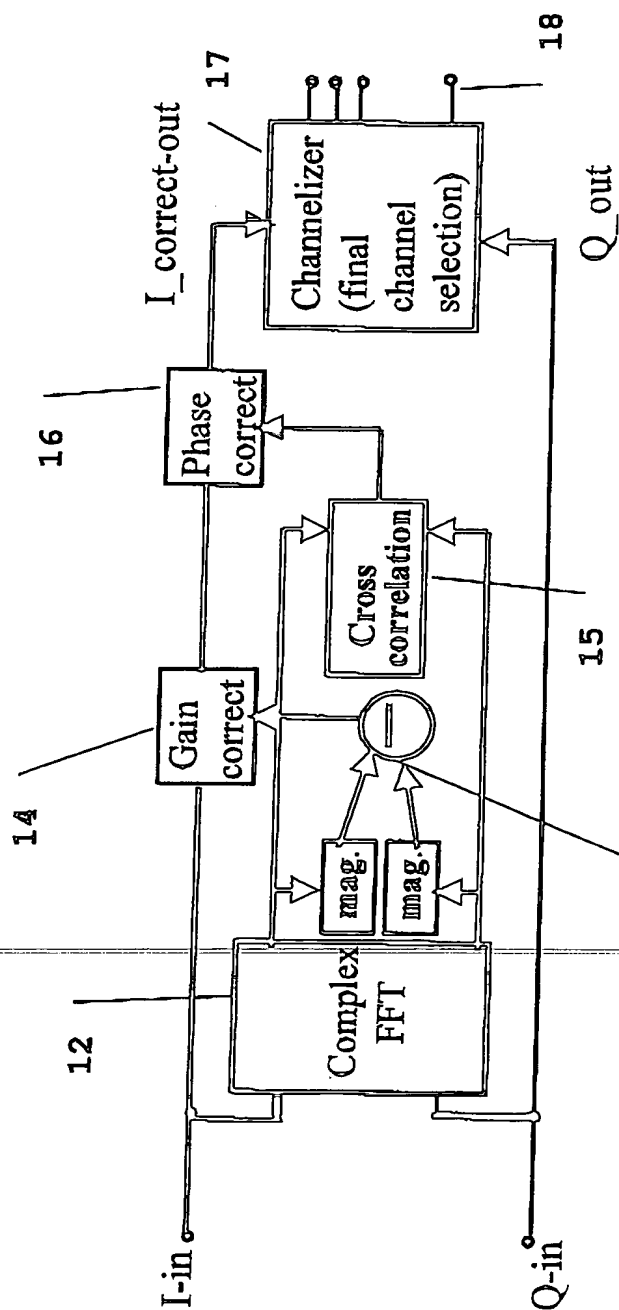


Fig. 4

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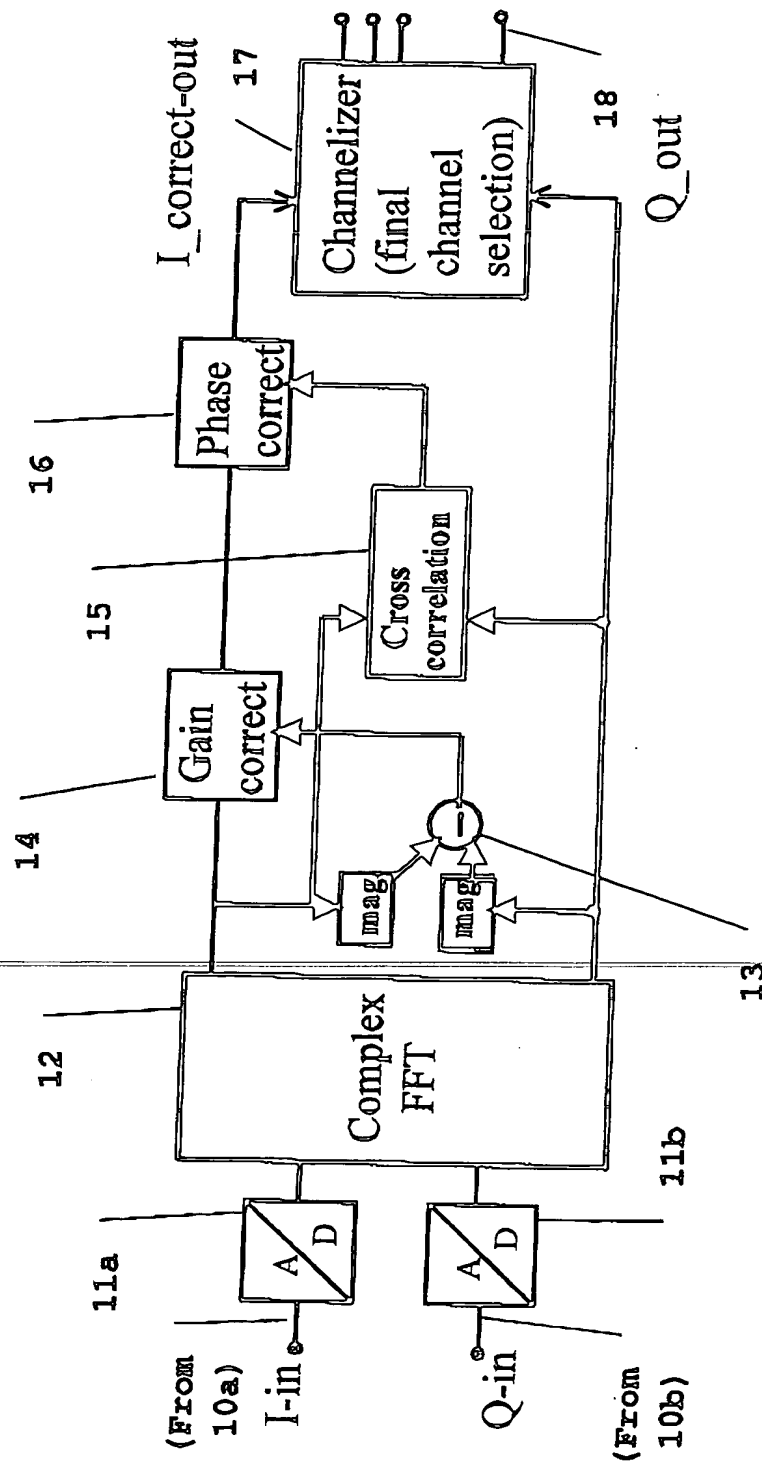


Fig. 5

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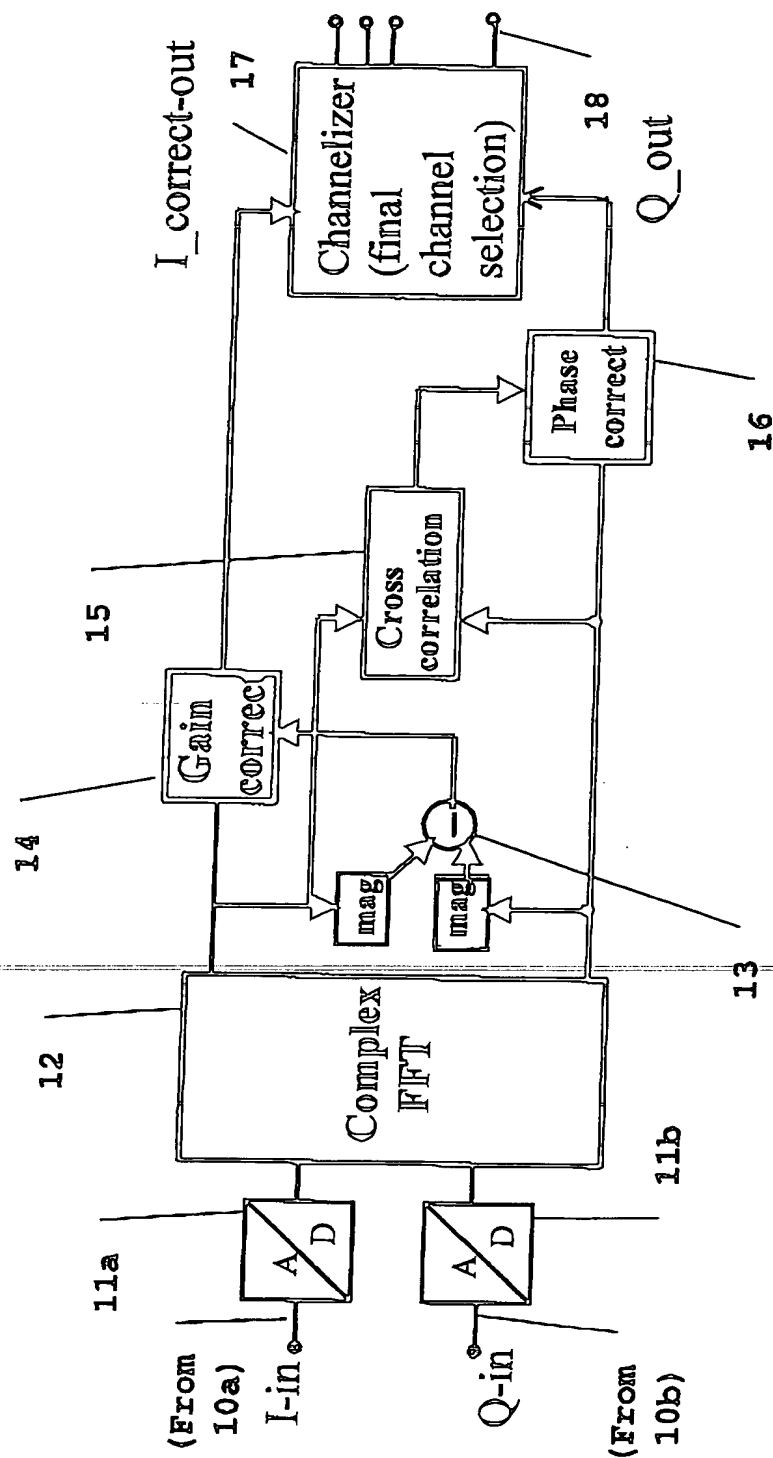


Fig. 6